

T008-S Transact Stocks and Shares ISA Application

Adviser Notes

Page 1 of 3
04/08

Please complete this form to open a Transact Stocks and Shares ISA. Should you require any assistance in completing this form, please consult the Guidance Notes, your Adviser or one of our Client Services Managers on (020) 7608 4900.

1 Investor Details

Transact Portfolio Name

Transact Portfolio Number

National Insurance (NI) Number (if not previously supplied to us)

If you have never been issued with an NI Number, you must sign here

X

Date of Birth

Permanent Residential/Registered Address

PO Box and 'Care Of' addresses are not acceptable

Country

Postcode

2 Initial Cash Deposit Instructions

Please use this section for single deposit instructions. For regular deposits, go to Section 3.

Deposit Amount	Amount by Cheque	Electronic Transfer		
		Amount by Electronic Transfer	Expected Date	Identification Reference
£	£	£		

Payment Instructions

A Cheque/Bankers Draft

Please make payable to: **Transact Client Account**

B Electronic Transfer

Please instruct your bank/building society to send payment to:

Account Name: Integrated Financial Arrangements plc Transact Client Account

Sort code: 60-00-01

Account Number: 36298921

Bank: National Westminster Bank plc

Address: City of London Office, PO Box 12258, 1 Princes Street, London EC2R 8PA

3 Regular Deposit Instructions

Please specify the amounts you wish to deposit on a regular basis and when these should be taken from your bank account. Please also ensure that you supply us with a Direct Debit Mandate (T006) to enable us to deduct these monies. Please refer to the Transact Terms & Conditions for more detail.

Deposit Amount	Timing		
	Frequency (M, Q, H, Y)	Day (1st, 11th, or 21st)	Start Month*
£			

*This will be deemed to be the later of (i) the Start Month stated here and (ii) the month following the date on which a valid standing order instruction is in place. Please note that it takes up to 10 (ten) Business Days from the date on which your Portfolio is opened for a valid standing order instruction to be in place.

4 Transfer of Existing ISA Accounts

Please provide details below of any existing ISAs that you will be transferring to your Transact Stocks and Shares ISA.

ISA manager	Type of ISA and Tax Year (Please indicate if 'cash' or 'stocks and shares')	Account Number

You will need to complete a T004-S Transact Stocks and Shares ISA Transfer Instruction where you are transferring an existing cash or stocks and shares ISA to your Transact Stocks and Shares ISA. A separate form is required for each ISA manager that you are transferring an existing ISA from.



Transact Stocks and Shares ISA Application

T008-S - Page 2 of 3

5 Buy Instructions

You may, at any time during the term of your ISA, instruct us to make purchases of investments from your available cash balance (please remember that 2% of your wrapper value must be retained as cash). If you wish to do so now, please complete the following:

Please specify below whether you want the costs and charges incurred in making any purchases set out below to be deducted on an Inclusive or Exclusive basis. "Inclusive" means that the amount of premium specified for such purchase shall be used to pay for both the investments specified and the costs and charges. "Exclusive" means that the amount of premium specified for such purchase shall be used to pay for the investments only, and the costs and charges shall be deducted separately from your Transact account in accordance with the Transact Terms and Conditions:

Inclusive

Exclusive

Please note that all purchase instructions (including instructions to make regular purchases) are subject to the terms of the Portfolio and, in particular, to there being sufficient cleared funds (over and above the minimum Cash balance required) available to make the purchase in question. Please also note that, unless otherwise specified in the purchase instructions, we will purchase income units/shares.

In respect of individual Portfolios: Please also note that, notwithstanding any election for payments to be made on an Exclusive or Inclusive basis, above, where you have a GIA that is being used as your fee payment account, you will be deemed to have elected "Exclusive".

One-off Buys

Description of Asset (including undertaking name for fund or share and any SEDOL/EPIC code or template name)	Amount (Specify only one or the other)	
	Value (£)	Quantity
	£	
	£	
	£	
	£	
	£	

Regular Buys

Description of Asset (including undertaking name for fund or share and any SEDOL/EPIC code or template name)	Amount	Timing	
		Frequency (M, Q, H, Y)	Start Month*
	£		
	£		
	£		
	£		
	£		

*This will be deemed to be the later of (i) the Start Month stated here and (ii) the month following the date on which a valid direct debit instruction is in place. Please note that it takes up to 10 (ten) Business Days from the date of receipt of your T006 (or from the date on which your Portfolio is opened, if later) for a valid direct debit instruction to be in place.

6 Regular Withdrawal Instructions

Please complete this section if you would like to receive a regular income from your ISA.

Enter Amount or tick Sweep		Timing			Destination	
Amount	Income Sweep	Frequency (M, Q, H, Y)	Day (7th, 17th, or 27th)	Start Month*	Nominated Account	Wrapper
£	<input type="checkbox"/>				<input type="checkbox"/>	

*This will be deemed to be the later of (i) the Start Month stated here and (ii) the month following the date on which a valid standing order instruction is in place. Please note that it takes up to 10 (ten) Business Days from the date on which your Portfolio is opened for a valid standing order instruction to be in place.

7 Fee Payment Account

Tick here to have certain allowable charges in relation to your ISA paid out of your GIA.



Transact Stocks and Shares ISA Application

T008-S - Page 3 of 3

8 Declaration and Authorisation to open a Transact Stocks and Shares ISA

I hereby apply to subscribe to a Transact Stocks and Shares ISA for the tax year 20 /20 and each subsequent year until further notice.

I declare that:

- I am 18 years of age or over;
- all subscriptions made, and to be made, belong to me;
- I have not subscribed and will not subscribe more than £7,200 in total to a cash ISA and a stocks and shares ISA in the same tax year;
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA; and
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Integrated Financial Arrangements plc if I cease to be so resident and ordinarily resident or to perform such duties or be married to or in a civil partnership with, a person who performs such duties.

I authorise Integrated Financial Arrangements plc:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- to make on my behalf any claims to relief from tax in respect of my ISA investments.

I agree to be bound by the Transact ISA terms and conditions that are included in the Transact Terms and Conditions and confirm that I have read and understood the Transact Key Features Document & Terms and Conditions.

I declare that this application form has been completed to the best of my knowledge and belief.

Signature

X

Date

/ /

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"Transact" is operated by Integrated Financial Arrangements plc Domain House, 5-7 Singer Street, London EC2A 4BQ

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